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Patent
Attorney's Docket No. 032566-011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
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Otto Z. ZHOU) Group Art Unit: 1741
)
Application No.: 09/881,684) Examiner: Unassigned
)
Filed: June 18, 2001)
)
For: METHOD OF MAKING NANOTUBE-)
BASED MATERIAL WITH ENHANCED)
ELECTRON FIELD EMISSION)
PARTICLES)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The following references were cited on pages 2-4, 9-10, and 13, in the specification of the above-identified application:

U.S. Patent 6,280,697;


Suzuki et al., "Work Functions and Valence Band States of Pristine and Cs-intercalated Single-Walled Carbon Nanotube Bundles", Appl. Phys. Lett., Vol. 76, No. 26, pp 407-409, June 26, 2000;

Wadhawan, A., et al., "Effects of Cs Deposition in the Field-Emission Properties of Single-Walled Carbon Nanotube Bundles", Appl. Phys. Lett., Vol. 78, No. 1, pp 108-1000, January 1, 2001;


Bower, C., et al., "Plasma Induced Conformal Alignment of Carbon Nanotubes on Curved Surfaces", Appl. Phys. Lett., Vol. 77, No. 6, pp 830-832, August 7, 2000; and
Journet, C., et al., Nature, Vol. 388, p. 756 (1977).

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).


The Examiner's attention is directed to copending application number 09/296,572 naming BOWER et al. as inventor(s) and filed on April 22, 1999, and the documents cited therein, a copy of which is enclosed.

 In accordance with MPEP § 609(c)(2) (February 2000, page 600-107), the Office is requested to return a copy of this Information Disclosure Statement with the Examiner's initials adjacent to this paragraph indicating that this copending application has been considered. By citation to the copending application, confidentiality is not waived and the Office is requested to maintain the confidentiality of the copending application under 35 U.S.C. § 122.


The Examiner's attention is directed to copending application number 09/351,537 naming BOWER et al. as inventor(s) and filed on July 1, 1999, and the documents cited therein, a copy of which is enclosed.

 In accordance with MPEP § 609(c)(2) (February 2000, page 600-107), the Office is requested to return a copy of this Information Disclosure Statement with the Examiner's initials adjacent to this paragraph indicating that this copending application has been considered. By citation to the copending application, confidentiality is not waived and the Office is requested to maintain the confidentiality of the copending application under 35 U.S.C. § 122.


The Examiner's attention is directed to copending application number 09/376,457 naming BOWER et al. as inventor(s) and filed on August 18, 1999, and the documents cited therein, a copy of which is enclosed.

 In accordance with MPEP § 609(c)(2) (February 2000, page 600-107), the Office is requested to return a copy of this Information Disclosure Statement with the Examiner's initials adjacent to this paragraph indicating that this copending application has been considered. By citation to the copending application, confidentiality is not waived and the Office is requested to maintain the confidentiality of the copending application under 35 U.S.C. § 122.


The Examiner's attention is directed to copending application number 09/594,844 naming ZHOU et al. as inventor(s) and filed on June 15, 2000, and the documents cited therein, a copy of which is enclosed.

 In accordance with MPEP § 609(c)(2) (February 2000, page 600-107), the Office is requested to return a copy of this Information Disclosure Statement with the Examiner's initials adjacent to this paragraph indicating that this copending application has been considered. By citation to the copending application, confidentiality is not waived and the Office is requested to maintain the confidentiality of the copending application under 35 U.S.C. § 122.

The Examiner's attention is directed to copending application number 09.679,303 naming ZHOU et al. as inventor(s) and filed on October 2, 2000, and the documents cited therein, a copy of which is enclosed.

 In accordance with MPEP § 609(c)(2) (February 2000, page 600-107), the Office is requested to return a copy of this Information Disclosure Statement with the Examiner's initials adjacent to this paragraph indicating that this copending application has been considered. By citation to the copending application, confidentiality is not waived and the Office is requested to maintain the confidentiality of the copending application under 35 U.S.C. § 122.

The Examiner's attention is directed to copending application number 09/817,164 naming STONER et al. as inventor(s) and filed on March 27, 2001, and the documents cited therein, a copy of which is enclosed.

 In accordance with MPEP § 609(c)(2) (February 2000, page 600-107), the Office is requested to return a copy of this Information Disclosure Statement with the Examiner's initials adjacent to this paragraph indicating that this copending application has been considered. By citation to the copending application, confidentiality is not waived and the Office is requested to maintain the confidentiality of the copending application under 35 U.S.C. § 122.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

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